Applicant: Graham P. Allaway et al.

Serial No: 09/852,238 Filed: May 9, 2001

Page 2

#### Remarks

In the October 22, 2001 Notice To Comply, the Examiner stated that the copy of the sequence listing in computer readable form has been submitted, but that the computer readable form (CRF) does not comply with the sequence requirements. The Notice states that applicant must provide a substitute CRF of the sequence listing and a statement that the content of the sequence listing information on the CRF is identical to the written listing and includes no new matter.

In response, applicants submit a substitute paper copy of the sequence listing attached hereto as **Exhibit B** in compliance with the requirements of 37 C.F.R. §1.824. In addition applicants submit herewith a substitute computer readable form (CFR) copy of the "Sequence Listing" as required by 37 C.F.R. §1.825(d). Further, applicants submit herewith as **Exhibit C** a statement in accordance with 37 C.F.R. §1.821(f), certifying that the substitute computer readable form containing the nucleic acid and/or amino acid sequences as required by 37 C.F.R. §1.821(e) contains the same information which was submitted as the "Sequence Listing" and contains no new matter.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicant's undersigned attorneys invites the Examiner to telephone either of them at the number provided below.

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Applicant:.

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No fee is deemed necessary in connection with the filing of this Amendment. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

P. White Date

John P. White Reg. No. 28,678

Reg. No. 28,678 Spencer H. Schneider

Reg. No. 45,923

John P. White

Registration No. 28,678 Spencer H. Schneider Registration No. 45,923 Attorneys for Applicant(s) Cooper & Dunham, LLP 1185 Avenue of the Americas New York, New York 10036

(212) 278-0400

### EXHIBIT A



COMMISSIONER FOR PATENTS
UNITED STATES PAIENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/852,238

05/09/2001

Graham P. Allaway

51320-AB/JPW/SHS

CONFIRMATION NO. 4501

**FORMALITIES LETTER** 

\*OCC00000006946688\*

John P. White Cooper & Dunham LLP 1185 Avenue of the Americas New York, NY 10036



OCT 26 2001

Date Mailed: 10/22/2001

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## **EXHIBIT B**





#### SEQUENCE LISTING

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Graham P. Allaway et al.
<110>
     USES OF A CHEMOKINE RECEPTOR FOR INHIBITING HIV-1 INFECTION
<120>
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<140> 09/852,238
      2001-09-05
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23

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Page 1

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# EXHIBIT C





:

:



Dkt. No. 51320-AB/JPW/SHS

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Graham P. Allaway, et al.

Serial No.

09/852,238

Filed

May 9, 2001

For

USES OF A CHEMOKINE RECEPTOR FOR

INHIBITING HIV-1 INFECTION

1185 Avenue of the Americas New York, New York 10036 December 18, 2001

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

#### STATEMENT IN ACCORDANCE WITH 37 C.F.R. §1.821(f)

In accordance with 37 C.F.R. §1.821(f), I hereby certify that the computer readable form containing the nucleic acid and/or amino acid sequences required by 37 C.F.R. §1.821(e) and submitted in connection with the above-identified application, has the same information as the pages attached hereto as **Exhibit B**, and entitled "Sequence Listing" and contains no new matter.

Respectfully submitted,

Spencer Schneider

Registration No. 45,923 c/o Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

(212) 278-0400